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02-10-03

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/000,190         | 10/19/2001          | Stephen J. Sicola     | P01-3684               |

CONFIRMATION NO. 9539

25235  
HOGAN & HARTSON LLP  
ONE TABOR CENTER, SUITE 1500  
1200 SEVENTEENTH ST  
DENVER, CO 80202

FORMALITIES LETTER



Date Mailed: 12/20/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

02/25/2002 BAEBAHA1 00000112 10000190

01 FC:105 130.00 OP



#3  
Attorney Docket No. P01-3684  
Client/Matter No. 68854.0185  
Express Mail No. EV035490579US  
Confirmation No.:9539

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, Richard Lary, William K. Miller, and Mark J. Conrad

Group Art Unit: 2181

Examiner:

Serial No. 10/000,190

Filed: October 19, 2001

For: UNIFIED MANAGEMENT SYSTEM AND METHOD FOR  
MULTI-CABINET DATA STORAGE COMPLEXES

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed December 20, 2001, submitted herewith is a signed Declaration for Patent Application; a check in the amount of \$130 to cover the surcharge for a large entity; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

In view of the large entity status to which the application is entitled, it is believed that the original filing fee paid was correct and that only the surcharge fee remains to be paid.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Date: 14 February 2002

William J. Kubida, Registration No. 29,664

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#3

Attorney Docket No. P01-3684  
Client/Matter No. 68854.0185  
Express Mail No. EV035490579US  
Confirmation No.: 9539

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts,  
Bill Pagano, Richard Lary, William K. Miller, and Mark J. Conrad

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Serial No. 10/000,190

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CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date Granted, form and surcharge payment of \$130;
3. Executed Declaration;
4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
5. Certificate of Mailing By Express Mail;
6. Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV035490579US with the United States Postal Service, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, 15 Feb, 2002.

15 February 2002  
Date  
14 February 2002  
Date

Mailer

  
William J. Kubida, Reg. No. 29,664  
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